

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO.: S63.2-10079		APPLICATION NO.: Not yet assigned	
				APPLICANT: Brown et al.			
				FILING DATE: Concurrently herewith		GROUP: Not yet assigned	

REFERENCE DESIGNATION				U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS		
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
P22	AA	6,106,548	8/22/00	Roubin et al.	623/1.15	
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY
	BA			
	BB			
	BC			
	BD			
	BE			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	CA	
	CB	
	CC	

EXAMINER Paul Prebilib	DATE CONSIDERED 03-10-03
-------------------------------	---------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Modified Form 1449 UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY (COPIES ENCLOSED)		ATTY DOCKET NO.:		APPLICATION NO.	
		APPLICANT:			
		FILING DATE:		GROUP:	
UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY					
EXAM'S INIT		APPLICATION NO.	FILING DATE	INVENTOR(S)	
	DA				
	DB				
	DC				
	DD				
	DE				
	DF				
EXAMINER			DATE CONSIDERED		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

Modified Form 1449 US APPLICATIONS RELATED BY PRIORITY (COPIES NOT ENCLOSED)		ATTY DOCKET NO.: S63.2-10079		APPLICATION NO. Not yet assigned		
		APPLICANT: Brown et al.				
		FILING DATE: Concurrently herewith		GROUP: Not yet assigned		
US APPLICATIONS RELATED BY PRIORITY						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA	08/511,076	8/3/05		Brown et al.	X
	EB	08/396,569	3/1/95		Brown	X
	EC					
	ED					
	EE					
	EF					
	EG					
EXAMINER			DATE CONSIDERED			
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.

FORM PTO-892,
(REV. 2-92)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

SERIAL NO.

08/511,076

GROUP/ART UNIT

3308

ATTACHMENT
TO
PAPER
NUMBER

5

NOTICE OF REFERENCES CITED

APPLICANT(S)

Brown et al

U.S. PATENT DOCUMENTS

		DOCUMENT NO.							DATE	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
A	5	5	2	7	3	5	4	6-1996	Fontaine et al	623	12	9-1992	
B	5	5	4	5	2	1	0	8-1996	Hess et al	623	12	9-1994	
C	5	5	4	9	6	6	3	8-1996	Cottone, Jr.	623	12	3-1994	
D													
E													
F													
G													
H													
I													
J													
K													

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	NAME	CLASS	SUB-CLASS	PERTINENT SHTS. DWG.	PP. SPEC.
L									
M									
N									
O									
P									
Q									

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

R	
S	
T	
U	

EXAMINER

DATE

Paul Prebilic

B-10-03

* A copy of this reference is not being furnished with this office action.
(See Manual of Patent Examining Procedure, section 707.05 (a).)

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076
	APPLICANT: Brian J. Brown, Michael L. Davis	
	FILING DATE: August 3, 1995	Group: 3308

1033 U.S. PTO
 09/934178

08/21/01

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAM'S INT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PPD	AA	4,553,545	11/19/1985	Maass et al.			
	AB	4,733,665	03/29/1988	Palmaz			
	AC	4,739,762	04/26/1988	Palmaz			
	AD	4,776,337	10/11/1988	Palmaz			
	AE	4,830,003	05/16/1989	Wolff et al.			
	AF	4,856,516	08/15/1989	Hillstead			
	AG	4,886,062	12/12/1989	Wiktor			
	AH	4,913,141	04/03/1990	Hillstead			
	AI	4,994,071	02/19/1991	MacGregor			
	AJ	5,019,090	05/28/1991	Pinchuk			
	AK	5,035,706	07/30/1991	Gianturco et al.			

FOREIGN PATENT DOCUMENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OF PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
PPD	BA	0 606 165 A1	07/13/1994	EPO			X	
	BB	0 540 290 A2	05/05/1993	EPO			X	
	BC	0 364 787 B1	04/25/1990	EPO			X	
	BD	WO 94/17754	08/18/1994	PCT			X	
	BE	0 541 443 A1	05/12/1993	EPO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

PPD	CA		<i>Manufacturing Processes for Engineering Materials</i> , by Serope Kalpakjian, Illinois Institute of Technology, Addison-Wesley Publishing Company, pp. 340.					
	CB		<i>A View of Vascular Stents</i> , by Richard A. Schatz, MD, From the Arizona Heart Institute Foundation, Phoenix, Arizona, <u>CIRCULATION</u> , Vol. 79, No. 2, February 1989, pp. 445-457.					
	CC		<i>The Self-Expanding Mesh Stent</i> , by Ulrich Sigwart, <u>SECTION IV</u> , Chapter 29, pp. 605-610.					

EXAMINER

Paul Prebilic

DATE CONSIDERED

03-10-03

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO: S63.2-5605		Serial No: 08/511,076	
APPLICANT: Brian J. Brown, Michael L. Davis				FILING DATE: August 3, 1995		Group: 3308	

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
<i>PP</i> <div style="border-left: 1px solid black; height: 100px; margin-left: 5px;"></div>	AA	5,059,211	10/22/1991	Stack et al.			
	AB	5,102,417	04/07/1992	Palmar			
	AC	5,104,404	04/14/1992	Wolff			
	AD	5,133,732	07/28/1992	Wiktor			
	AE	5,135,536	08/04/1992	Hillstead			
	AF	5,195,984	03/23/1993	Schatz			
	AG	5,197,978	03/30/1993	Hess			
	AH	5,282,823	02/01/1994	Schwartz et al.			
	AI	5,292,331	03/08/1994	Boneau			
	AJ	5,304,200	04/19/1994	Spaulding			
	✓	AK	5,449,373	09/12/1995	Pinchasik et al.		

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION		
					YES	NO	
<i>PP</i> <div style="border-left: 1px solid black; height: 100px; margin-left: 5px;"></div>	AL	6-4175	03/06/1994	Japan			
	AM						
	AN						
	AO						
	AP						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
<i>PP</i> <div style="border-left: 1px solid black; height: 100px; margin-left: 5px;"></div>	AR	Japanese Infringement Search on Articulated Expandable Stents, Dated July 12, 1995.
	AS	ENGINEERING FLUID MECHANICS, Third Edition, John A. Roberson and Clayton T. Crowe, pp. 94 and pp 414-421
	AT	Cambridge Dictionary of Science and Technology, Cambridge University Press. 128

EXAMINER <i>Paul Prebilib</i>	DATE CONSIDERED <i>03-10-03</i>
--------------------------------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

APPLICANT: Brian J. Brown, Michael L. Davis

FILING DATE: August 3, 1995

Group: 3308

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'S INIT.		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PPB	AA	3,657,744	04/25/1972	Ersek			
	AB	4,141,364	02/27/1979	Schultze			
	AC	4,681,110	07/21/1987	Wiktor			
	AD	4,693,721	09/15/1987	Ducheyne			
	AE	4,786,507	09/06/1988	Fischell et al.			
	AF	4,769,029	09/06/1988	Patel			
	AG	4,787,899	11/29/1988	Lazarus			
	AH	4,830,003	05/16/1989	Wolff et al.			
	AI	4,856,516	08/15,1989	Hillstead			
	AJ	4,922,905	05/08/1990	Strecker			
	AK	4,950,227	08/21/1990	Savin et al.			
	AL	4,950,258	08/21/1990	Kawai et al.			
	AM	4,994,071	02/19/1991	MacGregor			
	AN	5,015,253	05/14/1991	MacGregor			
	AO	5,037,392	08/06/1991	Hillstead			
	AP	5,064,435	11/12/1991	Porter			
	AQ	5,092,877	03/03/1992	Pinchuk			
	AR	5,104,399	04/14/1992	Lazarus			
	AS	5,108,415	04/28/1992	Pinchuk et al.			
	AT	5,108,417	04/28/1992	Sawyer			
	AU	5,122,154	06/16/1992	Rhodes			
	AV	5,139,480	08/18/1992	Hickle et al.			
	AW	5,147,385	09/15/1992	Beck et al.			
	AX	5,147,400	09/15/1992	Kaplan et al.			
	AY	5,158,548	10/27/1992	Lau et al.			

EXAMINER

DATE CONSIDERED

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)				ATTY DOCKET NO: S63.2-5605		Serial No: 08/511,076	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3308	
REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
PRD	AA	5,217,483	06/08/1993	Tower			
	AB	5,163,952	11/17/1992	Froix			
	AC	5,226,913	07/13/1993	Pinchuk			
	AD	5,282,824	02/01/1994	Gianturco			
	AE	5,344,425	09/06/1994	Sawyer			
	AF	5,354,308	10/11/1994	Simon et al.			
	AG	5,354,309	10/11/1994	Schnepp-Pesch et al.			
	AH	5,383,892	01/24/1995	Cardon et al.			
	AI	5,405,377	04/11/1995	Cragg			
	AJ	2,836,181	05/27/1958	J.S. Tapp			
	AK	3,509,883	05/05/1970	Dibelius			
	AL	3,526,228	09/01/1970	Lyng			
	AM	4,300,244	11/17/1981	Bokros			
	AN	4,319,363	03/16/1982	Ketharanathan			
	AO	4,441,215	04/10/1984	Kaster			
	AP	4,470,407	09/11/1984	Hussein			
	AQ	4,550,447	11/05/1985	Seiler, Jr. et al.			
	AR	4,740,207	04/26/1988	Kreamer			
	AS	4,760,849	08/02/1988	Kropf			
	AT	4,762,128	08/09/1988	Rosenbluth			
	AU	4,771,773	09/20/1988	Kropf			
	AV	4,795,458	01/03/1989	Regan			
	AW	4,820,298	04/11/1989	Leveen et al.			
	AX	4,877,030	10/31/1989	Beck et al.			
V	AY	3,868,956	04/04/1975	Alfidi et al.			
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO: S63.2-5605		Serial No: 08/511,076	
				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995			
				Group: 3308			
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAM'S INIT.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
PPD	AA	4,140,126	02/20/1979	Choudhury			
	AB	4,503,569	03/12/1985	Dotter			
	AC	4,512,338	04/23/1985	Balko et al.			
	AD	4,553,545	11/19/1985	Maass et al.			
	AE	4,560,374	12/24/1985	Hammerslag			
	AF	4,647,416	03/03/1987	Seiler, Jr. et al.			
	AG	4,649,922	03/17/1987	Wiktor			
	AH	4,655,771	04/07/1987	Wallsten			
	AI	4,665,918	05/19/1987	Garza et al.			
	AJ	4,795,458	01/03/1989	Regan			
	AK	4,800,882	01/31/1989	Gianturco			
	AL	4,848,343	07/18/1989	Wallsten et al.			
	AM	4,872,874	10/10/1989	Taheri			
	AN	4,878,906	11/07/1989	Lindemann et al.			
	AO	3,105,492	10/01/1963	Jeckel			
	AP	3,272,204	09/13/1966	Artandi et al.			
	AQ	3,490,975	01/20/1970	Lightwood et al.			
	AR	3,562,820	02/16/1971	Braun			
	AS	3,635,215	01/18/1972	Shea et al.			
	AT	3,771,526	11/13/1973	Rudle			
	AU	3,993,078	11/23/1976	Bergentz et al.			
	AV	4,078,167	03/07/1978	Banas et al.			
	AW	4,127,761	11/28/1978	Pauley et al.			
	AX	4,130,904	12/26/1978	Whalen			
	AY	4,164,045	09/14/1979	Bokros et al.			
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO: S63.2-5605		Serial No: 08/511,076	
				APPLICANT: Brian J. Brown, Michael L. Davis			
				FILING DATE: August 3, 1995		Group: 3308	
REFERENCE DESIGNATION U.S. PATENT DOCUMENTS							
EXAM'S INIT.	PATENT NO.	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,214,587	07/29/1980	Sakura, Jr.			
	AB	4,313,231	02/02/1982	Koyamada			
	AC	4,425,908	01/17/1984	Simon			
	AD	4,501,264	02/26/1985	Rockey			
	AE	4,535,770	08/20/1985	Lemole			
	AF	4,580,568	04/08/1986	Gianturco			
	AG	4,597,389	07/01/1986	Ibrahim et al.			
	AH	4,655,776	04/07/1987	Lesinski			
	AI	4,795,458	01/03/1989	Regan			
	AJ	4,795,465	01/03/1989	Marten			
	AK	4,842,575	06/27/1989	Hoffman, Jr. et al.			
	AL	4,851,009	07/25/1989	Pinchuk			
	AM						
	AN						
	AO						
	AP						
AQ							
AR							
AS							
AT							
AU							
AV							
AW							
AX							
AY							
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

FORM PTO-1449 (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)		ATTY DOCKET NO: S63.2-5605	Serial No: 08/511,076
APPLICANT: Brian J. Brown, Michael L. Davis		FILING DATE: August 3, 1995	
Group: 3308			

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)		
PBP	CA	Improved Dilation Catheter Balloons, by Stanley B. Levy, Ph.D., <u>JOURNAL OF CLINICAL ENGINEERING</u> , Vol. 11, No. 4, July-August 1986, pp. 291-296.
	CB	Self-expanding Stainless Steel Biliary Stents ¹ , By Harold G. Coons, MD, <u>RADIOLOGY</u> 1989, Vol. 170, No. 3, Part 2, pp. 979-983.
	CC	Technical Note Entitled <i>Modifications of Gianturco Expandable Wire Stents</i> , By Barry T. Uchida et al., <u>AJR</u> , Vol. 150, May 1988, pp. 1185-1187.
	CD	Brochure from Cook Incorporated regarding Gianturco-Rosch Biliary Z-Stents™.
	CE	Expandable Biliary Endoprosthesis: <i>An Experimental Study</i> , By Carrasco et al., <u>AJR</u> , Vol. 145, December 1985, pp. 1279-1282.
	CF	Gianturco Expandable Metallic Biliary Stents: <i>Results of a European Clinical Trial¹</i> , By Irving, et al., <u>Interventional Radiology</u> , Vol. 172, No. 2, August 1989, pp. 321-326.
	CG	Tracheobronchial Tree: <i>Expandable Metallic Stents Used in Experimental and Clinical Applications¹, Work In Progress</i> , By Wallace et al., <u>RADIOLOGY</u> , February 1986, pp. 309-312.
	CH	Brochure Entitled <i>AVE MICRO STENT™</i> , Instructions for Use, By Applied Vascular Engineering, Inc., pp. 1-15.
	CI	Brochure Entitled <i>MICRO STENT™</i> , By Applied Vascular Engineering, Inc.
	CJ	
	CK	
	CL	
	CM	
	CN	
	CO	
	CP	
	CQ	

EXAMINER	DATE CONSIDERED
-----------------	------------------------

EXAMINER: Initial citation considered. Draw line through citation if not in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.